

Budget Monitoring Period: April to December 2015/16

Where additional staffing/agency costs are reported in the table below - these are being incurred to progress recommendations highlighted in the Professor Jay, Louise Casey and Ofsted reports. £3m of these additional costs are being funded by the Transformation Reserve.

Service	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
Schools	369		Staffing	£51K for School Trade Union costs & £318K for School Redundancy/Pension costs.
Directorate Wide Costs	460		Staffing, supplies & services	£39k Additional cost for Strategic Director, £217K for interim Deputy director & interim Transformation Officer, £98K due to the unachievable Special Educational Needs and Disabilities (SEND) saving, £5K supplies, £20K on Shakespeare Festival & £161K on recruitment costs partially offset by -£63k underspend on pensions due to reduction in pensioner numbers & -£17K allocation of TCA grant
Director of Education & Skills		-6	Income	Use of grant income
School Improvement	186		Staffing, Supplies & services, Income	£129K Shortfall on traded income from schools in the School improvement service, £41K unachieved income target for room bookings at the Rockingham Professional Development Centre, £1K on Youth Enterprise Transport, £13K overspend on Health & Safety & £2K on staffing
Virtual School	121		Staffing, income	£61K due to withdrawal of Dedicated Schools Grant (DSG) funding by Schools Forum & £60K insufficient budget to meet restructured service costs
Inclusion Services	598		Staffing, placements, income	£600K Relates to the unachieved SEND saving, £15K for shortfall in traded income from schools on the Moving & Handling service offset with staffing slippage -£17K
Early Years services		-55	Staffing, supplies & services	-£32K Delays in recruiting to vacant post, -£16K underspend on supplies & -£7K additional grant income
Facilities Services		-44	Staffing, supplies & services, income	Building Cleaning +£2K, Town Centre Toilets +£10K offset with Riverside café -£4K, Caretakers -£43K & School Crossing Patrol -£9K
Early Help Director & Heads of Service		-53	Staffing	Underspend on Heads of Service posts due to delays in recruitment
Youth Support Services		-112	Staffing, supplies & services, income	£25K forecast overspend on Outdoor Education due to low bookings at Centres offset by -£137K underspend on staff vacancies/voluntary severance & activities in the Youth Service
Early Help Teams		-196	Staffing	Delays in recruiting to vacant post & staff not in the pension scheme
Education Welfare	60		Income	Withdrawal of DSG Funding by Schools Forum & unachievable income target
Commissioning, Performance & Quality	888		Staffing	Additional interim post £96K and additional staff in the Performance Team £229K, Commissioning Team £61K, Business Support £395K, Standards & Development £107K
Safeguarding Management & Legal	405		Staffing, supplies & services	£3K printing costs, £16K secure Gmail accounts for safeguarding staff, £22K interim Director, £86K on recruitment costs & £278K on legal costs due to the number of exceptional & complex cases linked to the Jay review & other issues
Safeguarding Teams	201		Staffing, income	Loss of DSG £49K withdrawn by Schools Forum & new posts & agency staff
Locality Social Work Teams	2,053		Staffing, Supplies & services, Direct payments, income	£1.984m additional forecast agency & interim costs, £41K additional Direct Payments, £49K Section 17/23 payments, £163K Leaving Care accommodation/young peoples costs, £77K supplies & services, £11K transport offset with -£272K TCA grant income
Evolve (Sexual Exploitation)	543		Staffing, contracts, income	£187K overspend on contracts to support victims and survivors of Child Sexual Exploitation (CSE), £11K NCC Audit, £16K loss of DSG withdrawn by Schools Forum, £329K additional agency & interim staff including reggrading costs
Looked After Children	2,257		Placements, staffing, allowances, premises	£1.003m OOA Residential placements, £443K Independent Fostering placements, £332K on Fostering mainly due to overspends on allowances, £38K on staffing LAC Contact & £104K on Leaving Care Accommodation, plus £403k on additional for in house & disability residential homes due to staff cover/agency/consultants, offset by under spends on Adoption -£66k mainly due to under spend on inter-agency adoption
First Response	3		Staffing, supplies & services	£28K for Out of Hours telephony charge,offset by maternity vacancy
Total	8,144	-466		
Net Under/Overspend	7,678			

Budget Monitoring Period: April to December 2015/16

Service	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
Adult Services				
Adults General		-193	Staffing	Higher than anticipated staff turnover within Contract and Reviewing Officers plus planned delay in use of training budget until 2016/17
<u>Older People</u>				
Direct Provision Residential Care		-125	Income	Additional income due to more full cost clients.
Independent Residential Care	442		Third Party Payments	Continued net increase in Residential and Nursing placements (+ 30 for year to date). Average weekly charge to year end is increasing plus under recovery of income against previous years Continuing Health Care (CHC) budget savings target.
Client Community Support Services (Rothercare)		-51	Supplies and Services	Forecast saving on electricity charges and telephone charges.
Enabling/Domiciliary Care	187		Third Party Payments	Significant decrease in client numbers (-100) due to clients request to enable them to continue with existing provider outside new Domiciliary Care framework agreement. Many of these clients have consequently transferred to a direct payment to maintain service continuity. This has increased the pressure on the Direct Payments budget - see below. Overall overspend relates to reduction in income from charges as client numbers have reduced.
Assessment & Care Management		-811	Staffing/Income	Vacant Social worker posts plus non recurrent grant/Care Act Funding to reduce overall pressures in Adult Social Care.
Direct Payments	844		Third Party Payments	Increase of 173 new clients since April mainly as a result of framework agreement on Domiciliary Care contract. Continued increase in weekly cost. Reviews being undertaken by Task Group is resulting in some reductions in the cost of care packages. Includes £869k one off BCF now agreed.
Extra Care/Day Care		-122	Supplies and Services	Forecast underspend on non-pay budgets, partially reduced by lower income from client attendance
Carers Support		-12	Supplies and Services	Lower than expected rental charges for Carers Centre
<u>Learning Disabilities</u>				
Supported Living		-57	Staffing/Income	Staff turnover higher than budgeted in community support plus vacancies in schemes.
Residential Care	138		Staffing/Third Party Payments	Increase in average cost of care packages reduced by 5 clients moving to Supported Living Schemes
Day Care		-49	Staffing/Income	Staff turnover higher than budgeted plus additional CHC income.
Domiciliary Care	25		Supplies and Services	Delays in reviews resulting in some clients still being paid on domiciliary care framework rather than Direct Payments.

Assessment & care Management		-127	Staffing	Delay on recruitment of Team Manager and Social work posts
<u>Mental Health</u>				
Independent Residential Care	152		Third Party Payments	Additional high cost placements
Direct Payments	183		Third Party Payments	Increase placements however, these costs allow maintenance of the client's independence and has prevented admission to more costly Residential Care.
Day Care/Community Support		-83	Third Party Payments	Day Care and Community support budget underspending due to Supporting People budget now funding two contracts for 2015-16 only, plus efficiency savings on contract.
<u>Physical & Sensory</u>				
Direct Payments	723		Third Party Payments	Overspend is due to client receiving increased package (+25 clients since April) being reduced by health funding (£226k). Reviews being undertaken by Task Group is resulting in some reductions in the cost of care packages.
Independent Residential Care	289		Third Party Payments	Higher than anticipated increase in residential placements (a net increase of 10 placements since April).
Domiciliary Care	10		Supplies and Services	Increase in demand in July and August
Day Care/Equipment/Advice & Information		-40	Third Party Payments	Efficiency savings after review of contracts
Safeguarding		-7	Staffing	Additional grant funding for Domestic Violence support is reducing overspend on additional posts to meet demands for Deprivation of Liberty Safeguards (DoLS) following supreme court judgement as agreed by SLT, after use of Specific Grant (£143k).
Supporting People		-178	Supplies and Services	Negotiated contract reductions and under capacity on demand led 'spot contracts'.
Adults Commissioning		-40	Staffing	Staff turnover higher than budgeted.
Adults Performance		-60	Staffing	Staff turnover higher than budgeted.
<u>Neighbourhoods</u>				
Strategic Housing Investment		-9	Staffing	Small variation due to staff turnover
Housing Options		-257	Supplies & Services, Income	Additional Furnished Homes income from increase in clients plus savings on project costs
Housing & Estate Services		-17	Staffing, Income	Staff vacancy plus additional funding contribution
Safer Neighbourhoods		-39	Staffing, Supplies & Services	Savings on reduced spend on equipment plus Voluntary Severances
Central		-4	Supplies & Services	Actual insurance costs less than expected
Neigh Partnerships		-63	Third Party Payments, staffing	Savings on contracted services due to delays in review of area assemblies/neighbourhood working plus voluntary severance.
Total Adults & Neighbourhoods	2,993	-2,344		
Net Under/Overspend	649			

Budget Monitoring Period:

April to December

Service	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
Asset Management				Service Total (-£134k) underspend
Estates	53		Income	Under recovery of income from external work due to general market conditions. This forecast could increase to £200k.
Facilities Management		-171	Staffing, Premises budgets	Significant savings are reported (-£183k) from Corporate Landlord and Land and Property due to ongoing planned rationalisation of property, these are being partially reduced by smaller pressures (+£50k) due to Community Buildings remaining open. Potential risks include Greasbrough Road Depot outstanding debtor (£258k) which is being considered by the Estates Team, Legal and Sundry Accounts, Riverside flood (+£100k)where it is assumed that costs will be covered by insurance, RDASH outstanding debtor (+£107k) which is being pursued by the Facilities Management Team and as yet unquantifiable office move costs due to changes required by CYPs .
Building Design and Corporate Projects	1		Staffing & Income	Forecast overspend is based on reduced income expectations for the current and future workload. Future income is reliant upon both HRA and new RMBC Capital Strategy, some jobs have been reprofiled to 2016/17. Impact of voluntary severance.
Corporate Environmental Team	6		Staffing	Lower than budgeted staff turnover.
Children's Capital Team		-26	Staffing & Income	Small savings from a vacant post and an improved income forecast due to the increase in academy conversions.
Corporate Property Management	4		Staffing	Lower than budgeted staff turnover.
Business Unit				Service Total (-£31k) underspend
Business Unit		-31	Staffing, Supplies & Services	A small pressure on the staffing budget is being offset by savings expected on pensions costs, with a small saving on training budgets.
Planning, Regeneration & Culture				Service Total (-£45k) underspend
Cultural Services		-136	Staffing & Income	Savings within theatre due to staff turnover, income over recovery, partially due to cultural vat exemption, and improved business from the café/bar. The materials fund is now reporting a saving.
Management		-2	Staffing	Lower than anticipated staff turnover
Customer Services		-62	Staffing & Income	Improved position on registrars due to higher than expected income and reduced staffing and agency staff costs
Regeneration	37		Income	Rental shortfall which is being mitigated in part by savings on staff budgets and non-pay spend.

Managed Workspace (Business Centres)		-3	Premises costs	Management action to reduce expenditure at all the centres has removed the need for using the reserve and a small surplus is now anticipated
Management	6		Supplies and services	Expected increased costs of the public liability insurance
Markets	28		Income	Shortfall in both outdoor and indoor market rents. This overspend could be mitigated in the future due to generating additional income from the expanding Street Market and new Sunday Market.
Planning	143		Staffing & Income	Planning application income shortfall has increased but is partially offset by vacant posts.
Rotherham Investment & Development Office (RIDO)	7		Supplies and services	A small variance is currently been reported, which will be reviewed further by management.
Building Control		-63	Income	Increased income from applications, some of which are expected to be significant, continued improvement.
Streetpride				Service Total (-£354k) underspend
Network Management		-115	Staffing, Supplies and Services & Income	The key pressure is an anticipated under recovery of income (+£132k) from Parking. This pressure is mitigated by savings on Street Lighting energy bills, additional income on Streetworks and the management of staff vacancies. (Note : winter maintenance forecast currently showing in risks and uncertainties section)
Waste Management		-148	Staffing, Supplies and Services & Income	Key variances: Waste Collection (-£13k) pressure has been greatly reduced (-£72k) due to additional savings being made in the construction phase of the sub-regional waste plant. This offsets increased pay pressures, charges for Thurcroft landfill and reduced clinical waste income. Improved position due to reduced collections over the festive period. Waste Treatment (-£111k) saving mainly from the waste recycling premium due to the cessation of carpet / mattress recycling plus Waste PFI forecast saving (-£24k) which assumes the receipt of PFI grant funding to cover overspends on the PFI contract.
Leisure and Green Spaces		-28	Staffing & income	Some small pressures across the service are being offset by savings from vacant posts, and increased rechargeable works income on Trees & Woodlands.
Community Services	32		Staffing, Supplies and Services & Income	Pressure due to lower than budgeted staff turnover, some agency costs and late receipt & payment of ad-hoc 14/15 Dog Warden (vet fees) and Pest Control due to a loss of contracts and external works. These pressures have been partly mitigated by lower than budgeted charges for Cleansing Waste Disposal.
Corporate Transport Unit (incl Stores & Depot)		-30	Staffing, Supplies and Services & Income	There is still a pressure on Home to School Transport due to changes in routes / demand. The overspend on this account has reduced due to some routes being cheaper than originally forecast. This is being mitigated by Stores & Depots - projecting an underspend due to staff vacancies and stores income.
Transportation		-35	Staffing & Income	Vacant post within Transport Management, with small surplus of income forecast.
Corporate Accounts		-3	Staffing	Saving due to the management of a vacant post

Emergency Planning, Health & Safety		-21	Staffing & Supplies and Services	Savings from a vacant post and maternity leave and a carry forward from 14/15. As per the joint Emergency Planning arrangement with Sheffield City Council, any underspend should be carried forward.
Business Regulation		-7	Staffing, Supplies and Services & Income	Main pressure, Licensing (+£113k) largely due to agency costs employed resulting from CSE findings work and additional costs incurred on Disclosure and Barring Services (DBS checks). Partially off-set by a forecast underspend on Trading Standards (-£92K) as a result of vacant posts and reduced non pay expenditure. And smaller under spends on Bereavement Services (-£13k), and in the Food, Safety & Animal Health service (-£14K) due to staff savings (maternity leave).
Total	316	-880		
Net Under/Overspend	-564			

Budget Monitoring Period: April - December (2015 /16)

Service	Outturn Variance 2015/16		Nature of under/overspend: (e.g.. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
ICT	8		Supplies & Services	Consultant support for the review of ICT
Communications and Media	70		Staffing, income	Forecast staff cost pressure and agency costs associated with engagements to assist the Council with workload. Costs associated with web enhancement. Unachieved income in the Design studio.
Legal Services	184		Staffing, agency	Locum solicitors and additional staffing costs relating to maternity cover and Interim Assistant Director of Legal Services post. Increased staffing resource in Information Governance Unit to manage increase in workload.
Democratic Services		-169	Members Allowances	Savings expected due to Members not receiving full Supplementary Responsibility Allowances (SRAs).
Elections	54		Staffing & Supplies & Services	Essential staff cover plus overspend on printing and postages due to Individual Electoral Registration.
Statutory Costs	130		Supplies & Services	High volume of statutory notices/planning notices. The forecast overspend may change in 2015/16 depending on number of notices required and any unanticipated corporate legal costs arising in year.
Business Unit	197		Staffing, income	Increased management support in keeping with new senior management structure. Unachievable income target relating to Central Print and Planned Print.
Human Resources (HR) & Payroll - Corporate Services		-250	Staffing, supplies & services, income	Reduced staff costs (vacancies), additional income generation, supplies & services savings
HR & Payroll - Service Centre	54		Staffing, supplies & services, income	Additional staff costs and maternity cover offset in part by vacant posts, loss of anticipated income, overspend on supplies & services budgets
Policy and Performance	0	-31	Staffing, supplies & services	Staff cost saving - maternity leave.
Procurement		-46	Staffing, supplies & services	Staff cost savings - maternity leave, Supplier volume discounts in excess of budgeted level and
Financial Services		-17	Staffing & Supplies & Services	Staff vacancies , training budget savings.
Revenues & Benefits		-40	Staffing & Income	Flexible use of grant income and staff vacancies
Internal Audit & Insurance	51		Staffing	Staff cost pressures to support 15/16 audit programme
Recruitment for Senior Posts	70		Staffing	Recruitment costs relating to Senior Posts
Net Under/Overspend	265			